

**WAC 314-55-530 Group 3 license violations.** Group 3 violations are violations involving licensing requirements, license classification, and special restrictions. Group 3 penalties imposed on a producer and/or processor license will not include license suspension. Penalties for a producer and/or processor license will be restricted to monetary fines, destruction of inventory, and/or license cancellation only.

<b>Violation Type</b>	<b>1st Violation</b>	<b>2nd Violation in a three-year window</b>	<b>3rd Violation in a three-year window</b>	<b>4th Violation in a three-year window</b>
<b>True party of interest/financier violation.</b> Chapter 314-55 WAC	Cancellation of license			
<b>Failure to furnish required documents.</b> Chapter 314-55 WAC	Cancellation of license			
<b>Misuse or unauthorized use of marijuana license (operating outside of license class).</b> Chapter 69.50 RCW Chapter 314-55 WAC	Retailer/transporter: 10-day suspension or \$5,000 monetary fine  Producer/processor: Tier 1: \$5,000 Tier 2: \$10,000 Tier 3: \$15,000 monetary fine	Cancellation of license		
<b>Misrepresentation of fact.</b> Chapter 314-55 WAC	Cancellation of license			
<b>Unauthorized change of business name.</b> Chapter 314-55 WAC	5-day suspension or \$1,000 monetary option  Producer/processor: All tiers: \$500 monetary penalty	10-day suspension or \$1,500 monetary option  Producer/processor: All tiers: \$1,500 monetary fine	30-day suspension or \$5,000 monetary option  Producer/processor: All tiers: \$5,000 monetary fine	Cancellation of license
<b>Operating/floor plan:</b> Violations of a WSLCB approved operating plan. Chapter 314-55 WAC	5-day suspension or \$1,000 monetary option  Producer/processor: All tiers: \$1,000 monetary fine	Retailer/transporter: 10-day suspension or \$2,500 monetary option  Producer/processor: Tier 1: \$2,500 Tier 2: \$5,000 Tier 3: \$7,500 monetary fine	Retailer/transporter: 30-day suspension  Producer/processor: Tier 1: \$15,000 Tier 2: \$30,000 Tier 3: \$60,000 monetary fine	Cancellation of license
<b>Failure to respond to administrative violation notice and/or failure to pay fines and penalties.</b> Chapter 314-55 WAC	\$1,000 monetary penalty	Cancellation of license		
<b>Failure to maintain required insurance.</b> Chapter 314-55 WAC	Retailer/transporter: 5-day suspension or \$2,500 monetary fine  Producer/processor: Tier 1: \$2,500 Tier 2: \$5,000 Tier 3: \$7,500 monetary fine	Retailer/transporter: 30-day suspension or \$15,000 monetary option  Producer/processor: Tier 1: \$15,000 Tier 2: \$30,000 Tier 3: \$60,000 monetary fine	Cancellation of license	

[Statutory Authority: RCW 69.50.325, 69.50.342, 69.50.345, and 69.50.369. WSR 18-22-055, § 314-55-530, filed 10/31/18, effective 12/1/18. Statutory Authority: RCW 69.50.342 and 69.50.345. WSR 16-11-110, § 314-55-530, filed 5/18/16, effective 6/18/16; WSR 15-11-107, § 314-55-530, filed 5/20/15, effective 6/20/15. Statutory Authority: RCW 69.50.325, 69.50.331, 69.50.342, 69.50.345. WSR 13-21-104, § 314-55-530, filed 10/21/13, effective 11/21/13.]